

SLOUGH BOROUGH COUNCIL

REPORT TO: Audit and Corporate Governance Committee

DATE: 4th March 2021

CONTACT OFFICER: Neil Wilcox; Executive Director, Corporate Operations (Section 151 Officer)
(For all Enquiries) (01753) 875368

WARD(S): All

PART I **FOR COMMENT & CONSIDERATION**

PROGRESS UPDATE ON IMPLEMENTATION OF INTERNAL AUDIT ACTIONS – QUARTER 4 2020/21

1. **Purpose of Report**

The purpose of this report is to report to Members on the progress of the implementation of agreed Internal Audit management actions.

2. **Recommendation(s)/Proposed Action**

The Committee is requested to comment on and note the attached reports.

3. **The Slough Joint Wellbeing Strategy (SJWS), the Joint Strategic Needs Assessment (JSNA) and the Five Year Plan**

The SJWS is the document that details the priorities agreed for Slough with partner organisations. The SJWS has been developed using a comprehensive evidence base that includes the JSNA. Both are clearly linked and must be used in conjunction when preparing your report. They have been combined in the Slough Wellbeing Board report template to enable you to provide supporting information highlighting the link between the SJWS and JSNA priorities.

3a. **Slough Joint Wellbeing Strategy Priorities –**

The actions contained within the attached reports are designed to improve the governance of the organisation and will contribute to all of the emerging Community Strategy Priorities

Priorities:

- *Economy and Skills*
- *Health and Wellbeing*
- *Regeneration and Environment*
- *Housing*
- *Safer Communities*

3b. **Five Year Plan Outcomes**

The actions contained within this report will assist the Council in achieving all of the five year plan outcomes

4. **Other Implications**

(a) Financial

There are no financial implications of proposed recommendation below

(b) Risk Management

<i>Recommendation</i>	<i>Risk/Threat/Opportunity</i>	<i>Mitigation(s)</i>
<i>That Audit & Corporate Governance Committee is requested to comment on and note the attached reports</i>	<i>This report concerns risk management across the Council</i>	<i>All of the actions agreed in the attached reports are additional mitigations to reduce risk</i>

(c) Human Rights Act and Other Legal Implications

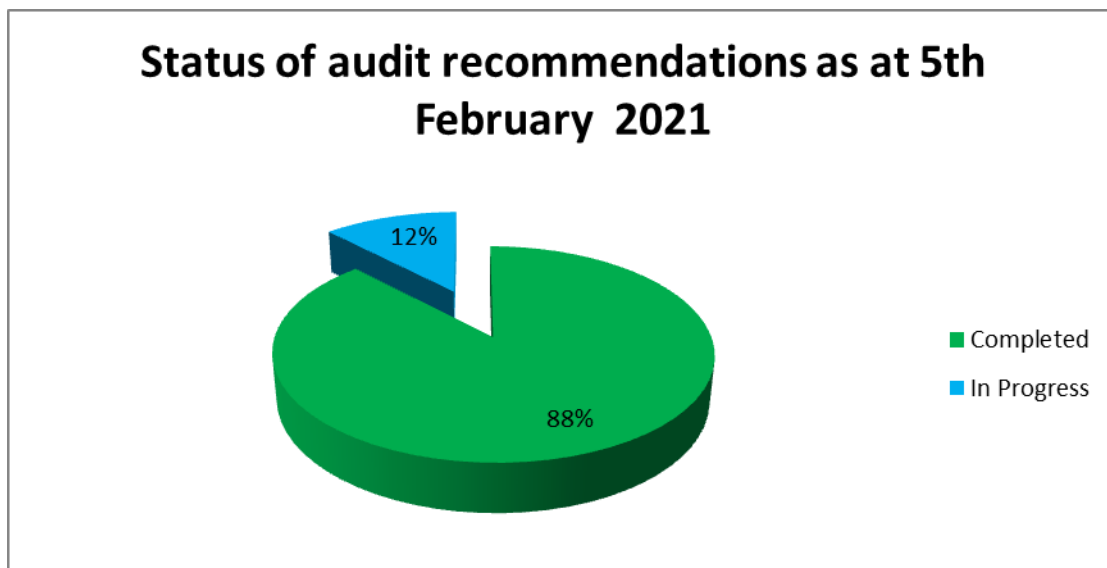
There are no Human Rights Act or other legal implications in this report

(d) Equalities Impact Assessment, (EIA)

There is no identified need for an EIA

5. **Supporting Information**

5.1 **Monitoring Management Actions**



5.1.1 The Risk and Insurance Officer regularly monitors the progress of the implementation of the medium and high priority Management Actions agreed from Internal Audit reports. The graph above shows the percentage of High and Medium priority management actions, from the current and two preceding financial years, that have either been implemented, are in progress, or where action has been taken by officers. Note that low priority actions are not tracked

The information presented above is based on action owners confirming the status of their actions, and a number of these will be validated by RSM as part of their follow up reviews.

- 5.1.2 The percentage of actions as reported as complete remains static at 88%
- 5.1.3 On a quarterly basis RSM our Internal Auditors conduct a follow up audit to review progress made by the Council to implement the previously agreed management actions. RSM only follow-up the actions where Council staff have confirmed that the actions have been implemented and the RSM work involves obtaining supporting evidence to confirm implementation.
- 5.1.4 The Quarter 1 and 2 follow up audits from RSM showed that the Council has made “**reasonable progress**” in implementing the agreed management actions..
- 5.1.5 Attached at Appendix 1 is a list of the ‘Medium’ Priority Actions that are classified as still open and remain outstanding past the target date. While the ‘target date’ column reflects the updated/revised date for implementing the action, the ‘update detail’ column shows the audit trail containing the original date of implementation where applicable so any slippage can be monitored and challenged

Current Position	
No Action	Partially Complete
5	12

The table above refers to Appendix 1.

6. **Comments of Other Committees**

There are no comments from other Committees

7. **Conclusion**

Members are requested to consider and note the details of the report.

8. **Appendices Attached**

Appendix 1 – Details of outstanding Medium priority agreed management actions.

9. **Background Papers**

None